

THE UNIVERSITY OF ALABAMA IN HUNTSVILLE
EXPANDED AUTHORITY FOR FEDERAL SPONSORED GRANTS

<u>Number</u>	07.02.14
<u>Division</u>	Vice President for Research and Economic Development - Office of Sponsored Programs
<u>Date</u>	March 26, 2021; Reviewed/Revised February 26, 2024
<u>Purpose</u>	To establish policy at The University of Alabama in Huntsville (“UAH” or “University”) on when prior sponsor approval is/is not required for Research and training awards.
<u>Policy</u>	The University recognizes certain federal sponsors have in place an award management tool which prov6 (c)-248pET g Bd (s)-2 15ms2g-248pET g Bd s document will

allowance in the “Remarks” section if the program has Expanded Authority privileges. The following procedure outline UAH’s Institutional Prior Approval System (IPAS) process.

Research and training awards normally require prior sponsor approvals for certain types of expenditures and transactions. Some federal agencies have delegated certain approval authorities to grant recipients that have established review and approval systems meeting specified criteria. Essentially these systems must assure that each transaction approved:

- a. is necessary to achieve the project objectives supported by the grant;
- b. is consistent with the grant terms and conditions;
- c. is consistent with sponsor and grantee policies;
- d. represents effective utilization of institutional resources; and
- e. does not constitute a change in scope.

A memo to the Director, Office of Sponsored Programs from the PI requesting IPAS approval is required.

The delegations permit UAH-OSP to act upon the following types of transactions:

1. Pre-award Costs Incurrence - Obligations and expenditures may be authorized up to 90 days prior to the effective date of a new or ~~Pre~~ competing award provided those costs meet all specified criteria of

NCE maybe used to extend the project in order to phase out the project or to extend pending the issuance of the competitive award.